

FEE TRANSMITTAL
For FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT \$110.00

COMPLETE IF KNOWN

Application No.	09/577,034
Filing Date	May 23, 2000
First Named Inventor	James K. Guenter
Group Art Unit	2828
Examiner Name	Hung T. Vy
Attorney Docket No.	M40 26373US (1139.1110101)

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other☒ Deposit Account

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayment☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1001	750	2001	375	Utility Filing Fee	
1002	330	2002	165	Design Filing Fee	
1003	520	2003	260	Plant Filing Fee	
1004	750	2004	375	Reissue Filing Fee	
1005	160	2005	80	Provisional Filing Fee	
SUBTOTAL (1)					\$0.00

2. EXTRA CLAIM FEES

Total Claims 32 x Fee from below 18.00 = 576.00
 Independent Claims 3 x Fee from below 24.00 = 72.00
 Multiple Dependent Claims 0 x Fee from below 240.00 = 0.00

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim	
1204	84	2204	42	Reissue independent claims over original patent	
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$0.00

*number previously paid, if greater, see above for Reissues

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,250	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	290	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(a)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$110.00

SUBMITTED BY

Name (Print / Type) Andrew A. Abeyta

Signature

Registration No (Attorney/Agent) 39,582

Complete (if applicable)

Telephone 602-313-3345

Date September 4, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.



AF/2828/41

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James K. Guenter et al.

Examiner: Hung T. Vy

Serial No.: 09/577,034

Group Art Unit 2828

Filed: May 23, 2000

For: SYSTEM AND METHOD FOR VCSEL POLARIZATION CONTROL

Docket No.: M40 26373 US (1139.1110101)

TRANSMITTAL SHEET

Mail Stop AF

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

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CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 4th day of September, 2003.

By: _____

Brian N. Tufte

We are transmitting herewith the attached:

- ☒ [X] Second Amendment in response to final office action of May 20, 2003 and Advisory Action of August 5, 2003
- ☒ [X] No additional fee.
- ☒ [X] Request For a One-Month Extension of Time
- ☒ [X] Fee Transmittal For FY 2003 authorizing payment in the amount of \$110.00 (1 month extension) to be charged to Honeywell Deposit Account 01-1125.
- ☒ [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.

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